

PTO/SB/08B (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Sheet	of	Application Number	10/025,794
		Filing Date	12/26/2001
		First Named Inventor	Jeff S. Eder
		Art Unit	3624
		Examiner Name	Richard Weisberger
		Attorney Docket Number	VM - 28

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
M		KOLLER, TIMOTHY, "What is value based management", McKinsey Quarterly, 1994, Number 3	
		BROWN, CAROL; COAKLEY, JAMES; PHILLIPS, MARY ELLEN, "Neural Networks Enter World of Mgmt Accounting,	
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Examiner Signature		Date Considered	
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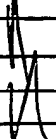
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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
[Signature]		US- 5,224,034 - B1	06-29-1993	Katz, Paul	
		US- 5,237,946 - B1	08-17-1993	Kagami, Akari	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			
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		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi		
		WO 983 8588	02-28-1997	Mayon & White		

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		Number-Kind Code ² (if known)			
A		US- 6,332,163 - B1	12-18-2001	Bowman-Amuah, Michel	
		US- 5,802,501 - B1	9-1-1998	Graff, Richard A.	
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	US- 20030083973- A1	5-1-2003	Horsfall, Peter		

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		US- 5,644,727 - B1	07-01-1997	Atkins, Charles Agee	
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	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
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<i>[Signature]</i>	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
<i>[Signature]</i>	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
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<i>[Signature]</i>	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
<i>[Signature]</i>	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
<i>[Signature]</i>	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
<i>[Signature]</i>	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
<i>[Signature]</i>	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi	
<i>[Signature]</i>	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11	

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		Application Number	10/025.794		
		Filing Date	12/26/2001		
		First Named Inventor	Jeff S. Eder		
		Art Unit	3624		
		Examiner Name	Richard Weisberger		
Sheet		of		Attorney Docket Number	AR - 28

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>N</i>	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997; MIT	
	33	SIMON, CAROL J. & SULLIVAN, MARY W.; The Measurement and Determinants of Brand Equity; 10/1/1993; Ma	
	34	Sveiby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Celermi	
	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
	36	HBS Press; Measuring Corporate Performance; 1998; HBS Press	
	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
<i>N</i>	40	ERNST & YOUNG, Measures that Matter	

Examiner Signature	<i>[Signature]</i>	Date Considered	<i>01/02</i>
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N	41	BOUQUET, PAOLO, SEARAFINI, LUCIANO, et al; Modeling and Using Context - Context 99, 09/99, Springer	
	42	AKMAN, VAROL, BOUQUET, PAOLO, et al; Modeling and Using Context - Context 2001, 07/01, Springer	
	43	KUEHNE, SVEN, et al, "SEQL: Category learning as progressive abstraction using structure mapping", 2	
	44	FRANKE, JURGEN, HARDLE, WOLFGANG, et al; Measuring Risk in Complex Stochastic Systems; 2000, Springe	
	45	SHIMPI, PRAKASH, Integrating Corporate Risk Management, 10/99, Swiss Re New Markets	
	46	BREWKA, GERHARD, Principles of Knowledge Representation, 1996, CSLI Publications	
	47	REITER, RAYMOND, Knowledge in Action, 2001, MIT Press	
	48	TISSSEN, RENE, ANDRIESSEN, DANIEL, et al; The Knowledge Dividend, 2000, Prentice Hall	
	49	BROWN, JOHN SEELY, et al, "Loosening up: How process networks unlock the power of specialization, 20	
	50	BLYTHE, JIM, "An Integrated Environment for Knowledge Acquisition", 2001, Intelligent User Interface	

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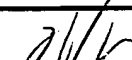
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		Application Number	
		Filing Date	06/03/2004
		First Named Inventor	Jeff S. Eder
		Art Unit	
		Examiner Name	
Sheet 8	of 8	Attorney Docket Number	VM - 68

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	51	KLUGE, JURGEN, STEIN, WOLFRAM, LICHT, THOMAS, Knowledge Unplugged, 2001, Palgrave	
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	56	Hancock, John, Huber, Peter, The economics of insurance, Swiss Re Press, 1/1/2001	
	57	Clark, Peter, Knowledge entry as the graphical assembly of components, KCAP 01, 2001	
	58	Upton, Wayne, Special report: business and financial reporting challenges of the new economy, FASB,	
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		Art Unit	3624	
		Examiner Name	Richard Weisberger	
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		Culp, Christopher et al, "Value at Risk for Asset Managers", Derivatives Quarterly, Vol 5, 01/8/99	
		Chicago Mercantile Exchange, "SPAN - Frequently Asked Questions", CME web site, 2/17/2006	
		Chicago Board of Trade, "SPAN Margin System", 8/27/1991	
		W3C, "Extensible Markup Language (XML)", W3C web site, 4/14/2005	
		GOLDFARB, CHARLES; & PRESCOD, PAUL; XML Handbook; Prentice Hall, 1998	
		MAIER, DAVID. "Database Desiderata for an XML Query Language"; W3.org, 1998	
		WIDOM, JENNIFER, "Data Management for XML, Research Directions", IEEE, July 1999	
		Chambers, Robert; Quiggins, John; "Resource Allocation and Asset Pricing", November 2002	
		Haesendonckx, Michel, "VBM - Value Driver Tree", June 8, 2005.	
	Barua, Anitesh; Lee, C.H. Sophie; Whinston, Andrew, "The Calculus of Reengineering", August 1994		

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		First Named Inventor	Jeff S. Eder
		Art Unit	3628
		Examiner Name	Richard Weisberger
		Attorney Docket Number	AR - 28

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<i>[Signature]</i>		BRISYS, ERIC, Insurance from underwriting to derivatives, John Wiley & Sons, 2001	
<i>[Signature]</i>		Chicago Mercantile Exchange, "SPAN - Frequently Asked Questions", CME web site, 2/17/2006	
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<i>[Signature]</i>		The Appraisal Foundation, Uniform Standards of Professional Appraisal Practice, 1997	
<i>[Signature]</i>		Dowd, Kevin, Beyond Value at Risk, John Wiley and Sons, 1998	
<i>[Signature]</i>		Hayes, Robert & Abernathy, William, Managing our way to economic decline, Harvard Bus Review, 7/80	
<i>[Signature]</i>		Farquhar, Peter; Han, Julia & Ijiri, Yuji; Recognizing and measuring brand assets, MSI, 1/1/89	

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Application Number	10/025,794
Filing Date	12/26/2001
First Named Inventor	Jeff S. Eder
Art Unit	3624
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Attorney Docket Number	AR - 28

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M		Hull, John, Options, futures and other derivatives, Prentice Hall, 2001	
		Mehrdad, Baghai, The alchemy of growth, Perseus Books, 1999	
N		Deloitte, "Valuing intangible assets, what are they really worth?"; January 2006	

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		CAQUETTE, JOHN, ALTMAN, EDWARD & NARAYANAN, PAUL, Managing Credit Risk, 1998, John Wiley and Sons	
		LOHR, STEVE, "Gates tries to inspire future techies", International Herald Tribune, March 2, 2004	

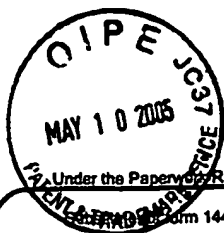
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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
✓		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
		US-			
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V		Anonymous, "Survey: Too Clever by Half", The Economist, January 24, 2004	

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